IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Application No.: 09/885,817 Confirmation No.: 4380

Confirmation No.: Filed: June 19, 2001

Inventor(s):

Shayne Young

Title: METHODS AND SYSTEMS

FOR IMPLEMENTING A FORWARD CONVERSION SECURITIES STRATEGY Examiner:

Unknown

Art Unit:

2182

Atty. Dkt. No:

5226-01600

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail on the date indicated above and is addressed to:

Commissioner for Patents

Alexandria, VA 22313-1450

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 RECEIVED

APR 14 2004

Technology Center 2100

Sir:

It is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 (references E1-E7) be considered by the Examiner and made of record. Copies of the listed documents are enclosed for the convenience of the Examiner.

Should any fees be required, the Commissioner is authorized to charge said fees to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. Deposit Account No. 50-1505/5226-01600/EBM.

Respectfully submitted,

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Form PTO-1449 (modified) ATTY. DKT. NO. 5226-01600 SERIAL NO. 09/885,817 List of Patents and Publications APPLICANT: Shayne Young % FROUP: 2182 For Applicant's Information Disclosure Statement FILING DATE: June 19, 2001 (Use several sheets if necessary) U.S. PATENT DOCUMENTS TRADEMAN FILING DATE IF CLASS **DOCUMENT NUMBER** DATE **NAME SUB** REF. EXAM. APPROPRIATE **CLASS INITIALS** DES. RECEIVED APRI 1 4 2004 Technology Center 2100 FOREIGN PATENT DOCUMENTS **TRANSLATION** DATE **COUNTRY** CLASS **SUB** EXAM. REF. DOCUMENT NUMBER YES/NO **CLASS INITIALS** DES. WO 01/42967 June 14, 2001 **PCT** E7 OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) International Search Report, PCT/US02/18063, mailed May 8, 2003 International Search Report, PCT/US02/18224, mailed May 15, 2003 E2 International Search Report, PCT/US02/18978, mailed May 21, 2003 International Search Report, PCT/US02/26471, mailed December 11, 2003 **E**5 International Search Report, PCT/US02/31239, mailed April 3, 2003

EXA	M	${\rm I\!I\!N}$	ER:
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DATE CONSIDERED:

International Search Report, PCT/US02/34357, mailed January 7, 2003